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PATENT COOPERATION TREAT

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

Date of mailing (day/month/year)

LETH-OLSEN, Kari-Anne et al

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

International application No.
PCT/NO00/00297

International filing date (day/month/year)
11 September 2000 (11.09.00)

Applicant

in its capacity as elected Office

Applicant's or agent's file reference
P9966

Priority date (day/month/year)
13 September 1999 (13.09.99)

Applicant

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	05 April 2001 (05.04.01)
!	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
ŧ	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).
	•

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

G. Bähr

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference P9966			ransmittal of International Search R I) as well as, where applicable, item	
International application No.	International filing date (a	day/month/year)	(Earliest) Priority Date (day/mo	nth/year)
PCT/NO 00/00297	11 Sept 2000		13 Sept 1999	
Applicant				
Norsk Hydro ASA et al				.
This international search report has applicant according to Article 18. A				o the
This international search report cons	ists of a total of3 sl	heets.		
X It is also accompanied b	y a copy of each prior art d	ocument cited in	this report.	
1. Basis of the report				
a. With regard to the language, the in the language in which it was				ication
the international search to this Authority (Rule 2	was carried out on the basis 3.1(b)).	of a translation	of the international application f	urnished
b. With regard to any nucleotide international search was carrie			international application, the	
contained in the internati	onal application in written f	form.		
filed together with the int	ernational application in co	mputer readable	form.	
furnished subsequently to	this Authority in written fo	rm.	•	
furnished subsequently to	this Authority in computer	rcadable form.		
	osequently furnished written ion as filed has been furnish		does not go beyond the disclosu	ıre in
the statement that the inf		uter readable for	m is identical to the written sequ	reuce
2. Certain claims were foun	d unsearchable (See Box I).			
3. Unity of invention is lack	ing (See Box II).			
4. With regard to the title,		_		
x the text is approved as su	ibmitted by the applicant.		·	
the text has been establis	hed by this Authority to rea	id as follows:		
5. With regard to the abstract,	the stand by the applicant			
٠٠ لها ٠٠	abmitted by the applicant.	(h) by this Auth	ority as it appears in Box III. T	he
applicant may, within on comments to this Author	e month from the date of m	nailing of this inte	ernational search report, submit	
6. The figure of the drawings to be		s Figure No		_
as suggested by the appli			X None of the	figures.
because the applicant fai				
because this figure better	characterizes the invention			

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: C08F 291/00, C08F 2/08
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: CO8F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Р,Х	EP 0995764 A1 (AGFA-GEVAERT AG), 26 April 2000 (26.04.00), page 2, line 1 - page 3, line 20; page 5, line 30 - page 7, line 12, comparative examples 1-10, tables 1 and 3, abstract	1-10
		
X	WO 9740076 A1 (NORSK HYDRO ASA), 30 October 1997 (30.10.97), page 3, abstract, examples	1-10
		
Y	EP 0326383 A2 (MITA INDUSTRIAL CO. LTD.), 2 August 1989 (02.08.89), abstract, examples, claims LCTC.	1-10

X	Further documents are listed in the continuation of Box	See patent family annex.
* "A" "E" "L" "O" "P"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international filing date document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed	"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
Date	e of the actual completion of the international search	Date of mailing of the international search report
19	December 2000	2 0 -12- ₂₀₀₀
Name and mailing address of the ISA/		Authorized officer
Вох	edish Patent Office c 5055, S-102 42 STOCKHOLM simile No. + 46 8 666 02 86	Monika Bohlin/Els Telephone No. +46 8 782 25 00



	PCIONO	00/00297
C (Continu	nation). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passa	Relevant to claim N
Y	WO 9831714 A1 (NORSK HYDRO ASA), 23 July 1998 (23.07.98), claims 1-3, abstract, examples	1-10
Y	 EP 0448391 A2 (ROHM AND HAAS COMPANY), 5,147, Q 3 25 Sept 1991 (25.09.91)	37 1-10
Y	US 4912184 A (YUTAKA AKASAKI ET AL), 27 March 1990 (27.03.90), abstract, examples, claims	1-10
A	US 4694035 A (KIYOSHI KASAI ET AL), 15 Sept 1987 (15.09.87), abstract	1-10
A	US 5061766 A (HIROSHI YAMASHITA ET AL), 29 October 1991 (29.10.91), abstract, examples, claims	1-10
A	US 4091054 A (FUMIO SAITO ET AL), 23 May 1978 (23.05.78), abstract	5
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INTERNATIONA ARCH REPORT Information on partial family members

Interior lonal application No. PCT/NO 00/00297

	nt document search report		Publication date		Patent family member(s)		Publication date
EP	0995764	A1	26/04/00	DE	19848897	Α	27/04/00
				JP	2000128903		09/05/00
0	9740076	A1	30/10/97	AU	2653897	A	12/11/97
				CA	2252514	Α	30/10/97
				EP	0897397	Α	24/02/99
				JP	2000509085	T	18/07/00
				NO	308414	В	11/09/00
				NO	961625	Α	08/12/97
				PL	329611	Α	29/03/99
				SK	146898	Α	11/06/99
Р	0326383	A2	02/08/89	DE	68916048		22/09/94
				JP	1193303		03/08/89
				JP	1968240		18/09/95
				JP	6094483		24/11/94
,				KR	9308451		04/09/93
				US	4996265		26/02/91
				JP	1217004		30/08/89
				JP	1960941		10/08/95
				JP 	6094484	В 	24/11/94
0	9831714	A1	23/07/98	AU	5886198		07/08/98
				EP	0954538		10/11/99
				NO 	970247	A	21/07/98
P	0448391	A2	25/09/91	SE	0448391		·
				AT	138400		15/06/96
				AU	648293		21/04/94
				UA	7361491		03/10/91
				BR Ca	9101115 2038502		05/11/91
				CN	1038756		23/0 <u>9</u> /91
				CN	1059913		17/06/98 01/04/92
			e. The second second	CZ	280984		15/05/96
				CZ		A	19/01/94
				DE	69119633		23/01/97
				FI	911386		23/09/91
				HŪ	61781		01/03/93
				HU	910973		00/00/00
				IL	97610		26/05/95
				JP	3055071		19/06/00
				JP	5093075		16/04/93
				KR	171604	В	30/03/99
				MX	173510		10/03/94
				NO.	911076		23/09/91
				NZ	237483		27/07/93
				PL	289528		16/12/91
				PT	97102		29/11/91
							45 100 100
				<u>US</u> ZA	<u>5147937</u> 9102111		15/09/92 25/03/92

INTERNATIONA EARCH REPORT Information on patent family members

In Jonal application No.
PC1/NO 00/00297

	ent document n search report		Publication date		Patent family member(s)	Publication date
US	4912184	A	27/03/90	JP	1959684 C	10/08/95
				JP	6096604 B	30/11/94
				JP	63191805 A	09/08/88
			·	JP	1876624 C	07/10/94
				JP	6002765 B	12/01/94
				JP	63191806 A	09/08/88
US	4694035	Α	15/09/87	EP	0190886 A	13/08/86
				JP	6074285 B	21/09/94
				JP	62121701 A	03/06/87
				NO	860171 A	31/07/86
				JP	61283602 A	13/12/86
US	5061766	Α	29/10/91	DE	3480992 D	00/00/00
	<			DE	3524179 A,C	16/01/86
				DΕ	8524879 U	02/01/86
				EP	0192772 A,B	03/09/86
				GB	2161170 A,B	08/01/86
				GB	8517247 D	00/00/00
				IT	1183953 B	22/10/87
				IŢ	8553770 V	12/09/85
				JP	6017373 B	09/03/94
				JP	61019602 A	28/01/86
				US	4627342 A	09/12/86
				US	4885350 A	05/12/89
				WO	8601774 A	27/03/86
US	4091054	A	23/05/78	US	4174425 A	13/11/79

04/12/00

From the

INTERNATIONAL PRELIMINARI - EXAMINING AUTHORITY

To:

LILLEGRAVEN, Rita NORSK HYDRO ASA N-0240 Oslo NORVEGE



PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing

(day/month/year)

11.12.2001

Applicant's or agent's file reference

P9966

IMPORTANT NOTIFICATION

International application No. PCT/NO00/00297

International filing date (day/month/year)
11/09/2000

Priority date (day/month/year)

13/09/1999

Applicant

NORSK HYDRO ASA et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

9)

D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Le Bolloch, C

Fax: +49 89 2399 - 4465

European Patent Office

Tel.+49 89 2399-8091



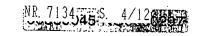
PATENT COOPERATION TREATY PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or	agent's file reference		See Notification of Transmittal of International				
P9966		FOR FURTHER ACTION	Preliminary Examination Report (Form PCT/IPEA/416)				
International	application No.	International filing date (day/mont	h/year) Priority date (day/month/year)				
PCT/NO00	0/00297	11/09/2000	13/09/1999				
International C08F291/0	Patent Classification (IPC) or nat 00	tional classification and IPC					
Applicant	:						
NORSK H	YDRO ASA et al.						
1. This into and is to	ernational preliminary examl ransmitted to the applicant a	nation report has been prepare coording to Article 36.	d by this International Preliminary Examining Authority				
2. This RE	PORT consists of a total of	5 sheets, including this cover s	heet.				
bee	ു amended and are the bas	I by ANNEXES, I.e. sheets of the ls for this report and/or sheets of 7 of the Administrative Instructi	ne description, claims and/or drawings which have containing rectifications made before this Authority ons under the PCT).				
These a	nnexes consist of a total of	5 sheets.					
	1						
3. This rep	ort contains indications relat	ing to the following items:					
1	Basis of the report						
II	☐ Priority						
111	☐ Non-establishment of op	inion with regard to novelty, inv	entive step and industrial applicability				
IV i	Lack of unity of invention		,				
V	Reasoned statement uncitations and explanation	der Article 35(2) with regard to a	novelty, inventive step or industrial applicability;				
VI I	☐ Certain documents cited						
VII	🛮 Certain defects in the int	emational application					
VIII (the international application					
Date of submis	sion of the demand	Date of c	completion of this report				
05/04/2001		11.12.20	01				
	ing address of the international	Authorize	ed officer				
	mining authority: uropean Patent Office		and the second s				
)) P	-80298 Munich el. +49 89 2399 • 0 Tx: 523656 e	Boletti,	C ((() () () () () () () () (
	ax: +49 89 2399 - 4465	i i	ie No. +49 89 2399 8527				

1



INTERNATIONAL PRESMINARY EXAMINATION REPORT

International application No. PCT/NO00/00297

		report

. 1.	With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)). Description, pages:									
	1-3	3,6-13	as originally filed							
	4,5	5,5a	as received on	14/09/2001	with letter of	10/09/2001				
	Cla	aims, No.:								
	1-1	10	as received on	14/09/2001	with letter of	10/09/2001				
	Dra	awings, sheets:								
	1/3	3-3/3	as originally filed							
2.	lan	guage in which the	juage, all the elements minternational application valuable or furnished to the	vas filed, unless othe	erwise indicated ur	nder this item.				
			translation furnished for t			n (under Rule 23.1(b)).				
		·	ublication of the internation translation furnished for the			y examination (under Rule				
3.	Witt i∩te	h regard to any nuc rnational preliminar	leotide and/or amino ac y examination was carried	id sequence disclosed out on the basis of	sed in the internati the sequence listi	onal application, the ing:				
		contained in the in	ternational application in	written form.						
		•	the international application		able form.					
			ently to this Authority in w							
			ently to this Authority in c	•						
		The statement that the international ap	the subsequently furnish oplication as filed has bee	ned written sequence on furnished.	listing does not g	to beyond the disclosure in				
		The statement that listing has been fur	the information recorded nished.	l in computer readab	le form is identica	I to the written sequence				

4. The amendments have resulted in the cancellation of:



INTERNATIONAL PRESMINARY EXAMINATION REPORT



International application No. PCT/NO00/00297

		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:
5.		This report has been considered to go bey	established as if (some of) the amendments had not been made, since they have been yond the disclosure as filed (Rule 70.2(c)):
		(Any replacement sh report.)	neet containing such amendments must be referred to under item 1 and annexed to this
6.	Add	itional observations, i	f necessary:
v	Rea	soned statement un	der Article 35(2) with regard to povelty inventive etch or industrial emplicability.

1. Statement

Novelty (N)

Yes:

citations and explanations supporting such statement

Claims

No:

Claims 1,7

Inventive step (IS)

Yes:

Claims 2-6,8-10

No: Claims

Industrial applicability (IA)

Yes: Claims 1-10

No: Claims

2. Citations and explanations see separate sheet

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/NO00/00297

claims are fully supported by the description, are made: see separate sheet



INTERNATIONAL PRELIMINARY

International application No.

PCT/NO00/00297

EXAMINATION REPORT - SEPARATE SHEET

ITEM V

Reference is made to the following documents:

D1: WO 97/40076 (abstract, claims, tests B-1 to B-8 and Fig. 1)

D3: US 4694035 (claims, col. 2, l. 60-66, col. 5, l. 1-7, ex. 5-8,10,11 and Fig. 2-4)

The procedure referred to in claim 1 as well as the spherical polymer particles of claim 7 are known from D1 and D3.

Therefore, the subject-matter of claims 1 and 7 is not novel under Art. 33(2) PCT.

The subject-matter of claims 2 to 6 and 8 to 10 is either not novel with respect to D1/D3 or would not appear to be inventive with regard to these teachings (Art. 33 (2) and (3) PCT).

ITEM VI

It is noted that Doc. EP 0995764 (publ.: 26.04.2000) does not constitute prior art within the meaning of Rule 64.1(b) PCT. Nevertheless, this document could be relevant when the present application will enter in the regional phase. Particular attention should be given to p. 2, l. 3-4, p. 3, l. 13-20, p. 3, l. 55 to p. 4, l. 4, p. 9, l. 16-30 and Table 3.

ITEM VII

The amendments filed with the letter dated 10.09.2001 introduce subject-matter which extends beyond the content of the application as filed, contrary to Article 34(2)(b) PCT. The amendments concerned are the following: wherein all the monomer is added directly to the start particles in claim 1.

ITEM VIII

The subject-matter of claims 1 to 4 and 7 to 9 is not fully supported as such by the description (Art. 6 PCT).





replacement sheet 4

structure can be formed in which the fraction of micro pores is insignificant. Another characteristic feature of the procedure is that the size distribution of the finished particles is narrow and in some cases narrower than in the start particles.

In order to describe prior art techniques in this field, reference will be made to the following patent specifications: NO 142082, NO 143403, NO 149108, NO 170730, NO 961625 (WO 97/40076), US 4,091,054, EP 0326383, EP 448391, US 4,382,124, EP 0 903 579 A1 and US 5,130,343.

NO 142082 and NO 143403 concern the technique that has become known as the two-step swelling method. The main element of this technique is that a low-molecular compound with very low water solubility is introduced into the start particles in a first stage. This results in an enormous increase in the particles' swelling capacity and much new monomer in relation to the polymer in the start particles can be absorbed and converted into polymer in a second step. The swelling ratio can be increased from 1:1-1:5 to 1:20-1:1000 times. NO 149108 also describes a two-stage swelling process. However, the special element of this method is that more swellable oligomer or ollgomer-polymer particles are produced in the first stage. In these particles it is the oligomer content which produces an increased swelling capacity instead of introducing a low-molecular compound with very low water solubility as in NO 142082 and NO 143403. In NO 170730, the disadvantages of these techniques are mentioned as being that they involve several stages to produce polymer particles over 10 µm since the start particles have a size of 0.5 µm.

NO 170730 concerns a process for producing particles in the size range 1 to 30 μm . In this process, start particles which are relatively large are used so that the swelling ratio can be small. Moreover, cross-linked start particles are used to avoid problems with coagulation, fusion and deformation of finished particles. A common feature with the first three references is that a first process stage is used involving the introduction of an activation agent (low-molecular compound with very low water solubility) to facilitate the absorption of new monomer in the second process stage. NO 961625 also uses relatively large start particles produced by dispersion polymerisation. Here, the total

replacement sheet 5

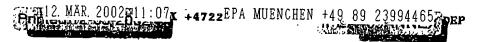
swelling ratio is also large, but to obtain spherical particles can be obtained, the majority of the monomer must be introduced during polymerisation.

US 4,091,054 concerns a process which takes place without introducing an activation agent for swelling in a separate step. An initiator solution and a monomer solution are dosed continuously but separately to start particles with a defined size range in such a way that all the polymer is formed within the start particles. Since the monomer is polymerised continuously, the ratio between the monomer and the polymer is always low. This produces controlled but limited growth of the particles.

EP 0326383 discloses a seeded polymerisation wherein the start particles are dispersed in an organic solvent in which also the monomer is dissolved. The organic solvent is miscible with water. The process is characterised in that the solubility of the monomer in the solvent is reduced thereby forcing the monomer into the start particles. Different means of reducing the solubility of the monomer in the solvent are described. It can be done by increasing the water concentration, by reducing the temperature, by adding water via a semipermeable membrane or by reducing the solvent concentration by evaporation.

Obvious disadvantages of this process are the use of large amounts of organic solvent and the need for measures to absorb the monomer into the start particles. The use of organic solvent will also to a large extent limit the versatility of this process to produce porous particles.

EP 448391 discloses a seed polymerisation using iterative steps to increase the particle size to the desired final particle size. Monomer is dosed in a strict controlled manner so the amount of free monomer in the system always is less than 10% of the amount of polymer present at any time during the polymerisation reaction. It is stated that if the amount of free monomer exceeds 10% there will be coagulation problems or there may be new formation of small particles which is highly undesirable. This gives a strong limitation of the process and it will never be possible to swell the polymer more than 1 time its own volume with new monomer. The total increase in diameter that is possible





replacement sheet 5a

is 10 times. When, in addition, the start particles always are small (less than 2 microns are disclosed) a lot of iterative steps are necessary to achieve particles in the size range 10 to 50 microns.

US 4,382,124 concerns a traditional suspension polymerisation for the production of macroporous polymer particles. It describes how permanent porosity can be introduced into polymer particles. However, no measures are taken to control the size distribution or to prevent the formation of micro pores.

EP 0 903 579 A1 uses a technique very similar to that In NO 142082, NO 143403, NO 149108 and NO 170730 with regard to controlling the size and distribution of the particles but also describes new methods for avoiding micro pores. This is achieved by introducing, together with the monomer, a compound with conjugated double bonds which does not react with the monomers, or the use of an oxidation-reduction reaction, or heat treatment of the finished particles.

US 5,130,343 also concerns a process similar to that in NO 142082, NO 143403, NO 149108 and NO 170730 with regard to controlling the particle size and distribution. A soluble polymer, which is used as the start particle, is extracted from the finished particle to form a macroporous structure.

In accordance with the present invention, unusual and unexpected results have surprisingly been found when a seed polymerisation is performed in which the start particles are produced by dispersion polymerisation. It is particularly



AMENDED CLAIMS

- 1. A procedure for producing spherical polymer particles with a narrow size distribution, i.e. with a CV of less than 35%, preferably less than 20%, in the range between 5 and 100 µm by free radical polymerisation of vinyl monomers in water, character is ed. In that the polymerisation is performed as a one-step seed polymerisation wherein all of the monomer is added directly to the start particles which consist of a non-cross-linked polymer produced by a dispersion polymerisation which gives the start particles such a high swelling capacity that they can absorb more than 5 times and preferably more than 20 times their own volume.
- 2. A procedure in accordance with claim 1, characterised in that the start particles absorb from 5 to 120, preferably from 20 to 100, times their own volume of vinyl monomers or a mixture which contains vinyl monomers.
- 3. A procedure in accordance with claim 2, characterised in that the mixture which contains vinyl monomers contains one or more inert solvents which lead to the formation of porosity in the polymer particles.
- 4. A procedure in accordance with claim 3, c h a racter is ed in that the mixture which contains vinyl monomers also contains a polymerisation initiator in addition to one or more inert solvents.
- 5. A procedure in accordance with claim 4, characterised in that the polymerisation initiator is added separately from the mixture which contains vinyl monomers.
- 6. A procedure in accordance with claims 1 to 5, characterised in that the vinyl monomers or a mixture which contains vinyl monomers is finely divided into small emulsion droplets before they are swelled into the start particles.

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

LILLEGRAVEN, Rita NORSK HYDRO ASA N-0240 Oslo NORVEGE '

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

(PCT Rule 71.1)

Date of mailing (day/month/year)

11.12.2001

Applicant's or agent's file reference P9966

International filing date (day/month/year)

Priority date (day/month/year) 13/09/1999

IMPORTANT NOTIFICATION

International application No. PCT/NO00/00297

11/09/2000

Applicant

NORSK HYDRO ASA et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

1;

European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Le Bolloch, C

Tel.+49 89 2399-8091

- 7. Spherical polymer particles with a narrow size distribution, i.e. with a CV of less than 35%, preferably less than 20%, in the range between 5 and 100 μ m, c h a r a c t e r i s e d i n t h a t they are produced by the procedure in accordance with claims 1-6.
- 8. Polymer particles in accordance with claim 7, characterised in that the porous structure in the particles has a very low content of pores with a diameter below 50 Å, i.e. less than 10%, preferably less than 5%, of the total pore volume.
- 9. Polymer particles in accordance with claim 7 or 8, character Is ed in that the porous structure in the particles is free from micropores with a diameter below 5 Å.
- 10. Polymer particles in accordance with claim 7, 8 or 9, characterised in that the size distribution of the polymer particles is always narrow and preferably narrower than that of the start particles.

KOPI til orientering

2 8 SEPT 2000

For raing Office use only -

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

International Applicant/NO 0	0 00297			
(11.09.00) International Filing Date	1 1 SEPT. 2000			
Name of receiving Office and "PCTrinternational Application"				

Applicant's or agent's file reference

	(if desired) (12 characters maximum) P9966						
Box No. I TITLE OF INVENTION							
Single stage seed polymerisation for the production of	of large polymer particles with a narrow size distribution						
Box No. II APPLICANT							
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)							
NORSK HYDRO ASA	Telephone No.						
N-0240 Oslo	+47 22 53 21 00						
Norway	Facsimile No.						
	+47 22 53 27 25						
	Teleprinter No.						
State (that is, country) of nationality:	State (that is, country) of residence:						
NO	NO						
This person is applicant for the purposes of: all designated States All designated the United	ted States except States of America						
Box No. III FURTHER APPLICANT(S) AND/OR (FUR	THER) INVENTOR(S)						
Name and address: (Family name followed by given name; for designation. The address must include postal code and name of caddress indicated in this Box is the applicant's State (that is, count of residence is indicated below.) LETH-OLSEN, Kari-Anne Frognerlia 23 N-3715 Skien Norway	This person is: This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)						
State (that is, country) of nationality: NO	State (that is, country) of residence: NO						
This person is applicant for the purposes of: all designated states all designated the United	the United States except States of America the United States of America only the States indicated in the Supplemental Box						
Further applicants and/or (further) inventors are indicated	I on a continuation sheet.						
Box No. IV AGENT OR COMMON REPRESENTATIV	E; OR ADDRESS FOR CORRESPONDENCE						
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities	t on behalf es as: common representative						
Name and address: (Family name followed by given name; for designation. The address must include postal LILLEGRAVEN, Rita NORSK HYDRO ASA	+47 22 91 04 84						
N-0240 Oslo	Facsimile No.						
Norway	+47 22 91 05 02						
	Teleprinter No.						
Address for correspondence: Mark this check-box when	e no agent or common representative is/has been appointed and the						

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heet	INO.		٠			

Continuation of Box No. III FUY TER APPLICANT(S) AND/OR (FURTHER) IN STOR(S)				
If none of the following sub-boxes is used, th	is sheet should not be included in the request.			
Name and address: (Family name followed by given name; for a l designation. The address must include postal code and name of cour address indicated in this Box is the applicant's State (that is, country, of residence is indicated below.) PAULSEN, Oystein Furulund Terrasse 11 N-3950 Brevik Norway	This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)			
State (that is, country) of nationality: NO	State (that is, country) of residence: NO			
This person is applicant all designated for the purposes of:	States except the United States the States indicated in the Source the Supplemental Box			
Name and address: (Family name followed by given name; for a l designation. The address must include postal code and name of cour address indicated in this Box is the applicant's State (that is, country) of residence is indicated below.) PEDERSEN, Steinar Mindåstunet 7 N-3712 Skien Norway	regal entity, full official entry. The country of the contract of fresidence if no State This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)			
State (that is, country) of nationality: NO	State (that is, country) of residence: NO			
This person is applicant all designated for the purposes of:	States except the United States the States indicated in the South the Supplemental Box			
Name and address: (Family name followed by given name; for a lidesignation. The address must include postal code and name of cour address indicated in this Box is the applicant's State (that is, country) of residence is indicated below.) SÆTHRE, Bård Orionveien 90 N-3942 Porsgrunn Norway	egal entity, full official try. The country of the of residence if no State This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.)			
State (that is, country) of nationality: NO	State (that is, country) of residence: NO			
	States except the United States of America only the States indicated in the Supplemental Box			
Name and address: (Family name followed by given name; for a l designation. The address must include postal code and name of cour address indicated in this Box is the applicant's State (that is, country, of residence is indicated below.) LARSEN, Rolf Olaf Nustadringen 15 N-3970 Langesund Norway	ntry. The country of the			
State (that is, country) of nationality: NO	State (that is, country) of residence: NO			
	I States except ates of America only the States indicated in the Supplemental Box			
Further applicants and/or (further) inventors are indicated o	n another continuation sheet.			

Box No	V DESIGNATION OF STATES			
The foll	lowing designations are hereby hounder Rule 4.9(a) (a)	mark	the ap	plicable check-boxes. Last one must be marked):
Region	al Patent			•
	ARIPO Patent: GH Ghana, GM Gambia, KE Kenva, I	ĻSĻ	esothe	, MW Malawi, SD Sudan, SL Sierra Leone, SZ Swaziland,
	Protocol and of the PCT			d any other State which is a Contracting State of the Harare
★ EA	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY I RU Russian Federation, TJ Tajikistan, TM Turkmenistan Convention and of the PCT	∃elar n, and	us, Ko dany c	G Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, other State which is a Contracting State of the Eurasian Patent
⋉ EP	DK Denmark, ES Spain, FI Finland, FR France, GB UMC Monaco, NL Netherlands, PT Portugal, SE Sweden.	Jnite	d Kin	vitzerland and Liechtenstein, CY Cyprus, DE Germany, gdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, her State which is a Contracting State of the European Patent
	Convention and of the PCT		a :	D 11 00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
▼ OA	GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, other State which is a member State of OAPI and a Contr.	MR actin	Maur g State	Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, itania, NE Niger, SN Senegal, TD Chad, TG Togo, and any e of the PCT (if other kind of protection or treatment desired.
	al Patent (if other kind of protection or treatment desired, spe	ecijy e	on aou	ea une).
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	Albania	囟	LS	Lesotho
	Armenia		LT	Lithuania
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	Barbados			Madagascar
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I ==				Mongolia Malawi
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	and LI Switzerland and Liechtenstein			Mexico
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1 11	Denmark	X	RU	Russian Federation
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⊠ EE	Estonia	\boxtimes	SE	Sweden
⊠ ES	Spain	X	SG	Singapore
□ FI	Finland	X	SI	Slovenia
⊠ GB	United Kingdom	X	SK	Slovakia
⊠ GD	Grenada	X	SL	Sierra Leone
	Georgia	\boxtimes	TJ	Tajikistan
⊠сн	Ghana	X	TM	Turkmenistan
⊠см	I Gambia	X	TR	Turkey
⊠ HR	Croatia	X	TT	Trinidad and Tobago
⊠ HU	Hungary		TZ	United Republic of Tanzania
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⊠ IL	Israel		UG	Uganda
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(C)	D. Alla of Varia			boxes reserved for designating States which have
1 7 7	Republic of Korea	be	come	party to the PCT after issuance of this sheet:
I = I	Kazakhstan			F
	Saint Lucia		, 1	
	Sri Lanka	<u>_</u>		
Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn bythe applicant				
at the ex	expiration of that time limit. (Confirmation (including fees) mis	ust re	ach the	receiving Office within the 15-month time limit.)
	T/RO/101 (second sheet) (January 2000)		·.	See Notes to the request form

Sheet	ΝIα	4	
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Box No. VI PRIORITY CLAIM			Further price	ority clair re indicated	in the Supplemental Box.	
Filing date	0.500	umber		ion is:		
of earlier application (day/month/year)	ol ear	lier application	national application: country	regional application:* regional Office	international application: receiving Office	
item (1) 13. September 1999 (13.09.1999)	, 1	9994425	NO			
item (2)						
item (3)						
of the earlier application of the purposes of the present	on(s) (only ij t internation	f the earlier app al application is	nsmit to the International Bulication was filed with the the receiving Office) identif	Office which for the lied above as item(s): 1		
* Where the earlier application Convention for the Protection	n is an ARIPO of Industrial P	application, it is roperty for which	mandatory to indicate in the St that earlier application was file	upplemental Box at least o ed (Rule 4.10(b)(ii)). See S	ne country party to the Paris upplemental Box.	
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Choice of International Set (if two or more International competent to carry out the in the Authority chosen: the two-le	Searching A ternational se	uthoritiès aré se arch, indicate	Request to use results of ear earch has been carried out by or Date (day/month/year)			
ISA/ SE		· · · · · · · · · · · · · · · · · · ·	2.02.2000	1999 4425	NO	
Box No. VIII CHECK L	IST: LANC					
This international application			onal application is accompa	nied by the item(s) mark	ted below:	
the following number of sl	ieets:	1. Fee calc	**	inca by the none(b) man		
request :4	,	2. 🗷 separate	e signed power of attorney			
description (excluding sequence listing part)	3	3. copy of	by of general power of attorney; reference number, if any:			
claims :2	2	4. stateme	tement explaining lack of signature			
abstract :	i	5. 🔲 priority	document(s) identified in E	Box No. VI as item(s):		
drawings :	3	6. 🔲 translat	slation of international application into (language):			
sequence listing part of description :)	1 — -	e indications concerning de			
	<u>_</u>	_	tide and/or amino acid seque	-	readable form	
Total number of sheets:		I	specify): Norwegian Search	Report		
Figure of the drawings whe should accompany the abst		i	Language of filing of the nternational application:	English		
Box No. IX SIGNATU		LICANT OR A		(if such a magity is not also	ious from reading the request	
Rita Lillegraven	e name oj ine p	erson signing ana ii	ne capacity in which the person sig	gris (ij such capacity ishoi oov	ious from reading the requests.	
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Q:10 0.	1100	71120	1			
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Date of actual receipt of international application	the purport	ed	receiving Office use only	09.00)	2. Drawings:	
Corrected date of actual timely received papers of the purported internation.	or drawings (to later but completing			received:	
Date of timely receipt of corrections under PCT	Article I1(2)):			not received:	
5. International Searching (if two or more are com	5. International Searching Authority (if two or more are competent): ISA/SE 6. Transmittal of search copy delayed until search fee is paid.					
Date of receipt of the reco	rd copy	For In	iternational Bureau use only	/		
by the International Burea						



To:

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

LILLEGRAVEN, Rita Norsk Hydro ASA N-0240 Oslo NORVÈGE

Date of mailing (day/month/year) 25 October 2000 (25.10.00)	
Applicant's or agent's file reference P9966	IMPORTANT NOTIFICATION
International application No.	International filing date (day/month/year)
PCT/NO00/00297	11 September 2000 (11.09.00)
International publication date (day/month/year)	Priority date (day/month/year)
Not yet published	13 September 1999 (13.09.99)
Applicant	
NORSK HYDRO ASA et al	

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau. as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

Priority date Priority application No. Country or regional Office Date of receipt or PCT receiving Office of priority document

13 Sept 1999 (13.09.99) 19994425 NO 29 Sept 2000 (29.09.00)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

N. Wagner

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTICE INFORMING THE APPLICANT OF THE **COMMUNICATION OF THE INTERNATIONAL** APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

LILLEGRAVEN, Rita Norsk Hydro ASA N-0240 Oslo NORVÈGE

> MOTTATT I N.H. PATENTAVD. 3 0 MAR 2001

Date of mailing (day/month/year) 22 March 2001 (22.03.01)

Applicant's or agent's file reference P9966

International application No. PCT/NO00/00297

International filing date (day/month/year)

Priority date (day/month/year)

IMPORTANT NOTICE

11 September 2000 (11.09.00)

13 September 1999 (13.09.99)

Applicant

NORSK HYDRO ASA et al

Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU.KP.KR.US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CN,CR,CU,CZ,DE,DK,DM,EA,EE,EP,ES,FI,GB,GD, GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MN,MW,MX, NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW
The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 22 March 2001 (22.03.01) under No. WO 01/19885

REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

J. Zahra

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

MOTTATT I N.H.
PATENTAVD.

2 1 MAI 2001

PATENT COOPERATION TREATY

PAT

From the INTERNATIO

From the INTERNATIONAL BUREAU

PCT

INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

LILLEGRAVEN, Rita Norsk Hydro ASA N-0240 Oslo NORVÈGE

Date of mailing (day/month/year)

15 May 2001 (15.05.01)

Applicant's or agent's file reference

P9966

IMPORTANT INFORMATION

International application No. PCT/NO00/00297

International filing date (day/month/year) 11 September 2000 (11.09.00) Priority date (day/month/year)

13 September 1999 (13.09.99)

Applicant

NORSK HYDRO ASA et al

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP:GH,GM,KE,LS,MW,MZ,SD,SL,SZ,TZ,UG,ZW

EP:AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE

National :AU,BG,CA,CN,CZ,DE,IL,JP,KP,KR,MN,NO,NZ,PL,RO,RU,SE,SK,US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA:AM,AZ,BY,KG,KZ,MD,RU,TJ,TM

OA:BF,BJ,CF,CG,CI,CM,GA,GN,GW,ML,MR,NE,SN,TD,TG

National :AE,AL,AM,AT,AZ,BA,BB,BR,BY,CH,CR,CU,DK,DM,EE,ES,FI,GB,GD,GE,GH,

GM,HR,HU,ID,IN,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MW,MX,PT,SD,

SG,SI,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW

3. The applicant is reminded that he must enter the "national phase" **before the expiration of 30 months from the priority date** before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer:

G. Bähr

Telephone No. (41-22) 338-83.38

4022431

Form PCT/IB/332 (September 1997)

PCT

REC'D 13 DEC 2001
WIPO

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14

Applicant's	s or ac	ent's file reference	T					
P9966			FOR FURTHER A	CTION		ation of Transmittal of International Examination Report (Form PCT/IPEA/416)		
Internation	al app	olication No.	International filing date	(day/month	/year)	Priority date (day/month/year)		
PCT/NO	00/0	0297	11/09/2000			13/09/1999		
Internation C08F29		ent Classification (IPC) or na	tional classification and IP	PC .				
Applicant								
NORSK	HYD	RO ASA et al.		_				
1. This and is	intern s tran	national preliminary exami esmitted to the applicant a	nation report has been ccording to Article 36.	prepared	by this Inter	rnational Preliminary Examining Authority		
2. This	REPO	ORT consists of a total of	5 sheets, including thi	s cover sh	eet.			
b	een a	eport is also accompanied amended and are the bas Rule 70.16 and Section 60	is for this report and/or	r sheets co	ontaining rec	n, claims and/or drawings which have ctifications made before this Authority e PCT).		
These	e ann	exes consist of a total of	5 sheets.					
		· · · · · · · · · · · · · · · · · · ·						
3. This r	eport	contains indications relat	ting to the following iter	ms:				
1	\boxtimes	Basis of the report				•		
11		Priority						
Ш		Non-establishment of or	pinion with regard to novelty, inventive step and industrial applicability					
IV		Lack of unity of invention	n					
٧	×	Reasoned statement un citations and explanation	der Article 35(2) with rens suporting such state	egard to n ement	ovelty, inver	ntive step or industrial applicability;		
VI	\boxtimes	Certain documents cite	d					
VII	\boxtimes	Certain defects in the in	ternational application			•		
VIII	\boxtimes	Certain observations on	the international applic	cation				
Date of sub	missic	on of the demand		Date of c	ompletion of the	his report		
05/04/200	01			11.12.20)1			
		g address of the international ining authority:		Authorize	d officer	SOUS MENTER		

Boletti, C

Telephone No. +49 89 2399 8527

European Patent Office D-80298 Munich

Fax: +49 89 2399 - 4465

Tel. +49 89 2399 - 0 Tx: 523656 epmu d





International application No. PCT/NO00/00297

I. Bas	sis of	the	rep	ort
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1.	the an	receiving Office in	response to an invitational applic response to an invitation under to this report since they do not co	Article 14 are	referred to in this rep	ort as "originally filed"
	1-3	,6-13	as originally filed			
	4,5	,5a	as received on	14/09/2001	with letter of	10/09/2001
	Cla	ims, No.:				
	1-1	0	as received on	14/09/2001	with letter of	10/09/2001
	Dra	wings, sheets:				
	1/3	-3/3	as originally filed			
2. With regard to the language , all the elements marked above were available or furnished to this Authorized in which the international application was filed, unless otherwise indicated under this item.						
	The	ese elements were a	available or furnished to this Aut	nority in the fo	ollowing language: ,	which is:
		the language of a	translation furnished for the purp	oses of the in	nternational search (u	nder Rule 23.1(b)).
		the language of pu	iblication of the international app	lication (unde	er Rule 48.3(b)).	
		the language of a 55.2 and/or 55.3).	translation furnished for the purp	oses of inter	national preliminary ex	camination (under Rule
3.			leotide and/or amino acid seq y examination was carried out o			l application, the
		contained in the in	ternational application in written	form.		
		filed together with	the international application in c	omputer read	able form.	
		furnished subsequ	ently to this Authority in written f	orm.		
		furnished subsequ	ently to this Authority in compute	er readable fo	rm.	
			t the subsequently furnished writ oplication as filed has been furni		e listing does not go be	eyond the disclosure in
		The statement that listing has been ful	t the information recorded in con rnished.	nputer readab	ele form is identical to	the written sequence
4.	The	amendments have	resulted in the cancellation of:			





		the description,	pages:					
		•						
		the claims,	Nos.:					
		the drawings,	sheets:					
5.	This report has been established as if (some of) the amendments had not been made, since they have bee considered to go beyond the disclosure as filed (Rule 70.2(c)):							
		(Any replacement she report.)	eet contai	ning such	amendments must be referred to under item 1 and annexed to this			
	Rea	itional observations, if soned statement und tions and explanatio	der Articl	e 35(2) w	ith regard to novelty, inventive step or industrial applicability;			
1.	Stat	ement						
	Nov	elty (N)	Yes: No:	Claims Claims	1,7			
	Inve	ntive step (IS)	Yes: No:	Claims Claims	2-6,8-10			
	Indu	strial applicability (IA)	Yes:	Claims	1-10			

2. Citations and explanations see separate sheet

VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

No:

Claims

The following observations on the clarity of the claims, description, and drawings or on the question whether the



claims are fully supported by the description, are made: see separate sheet

ITEM V

Reference is made to the following documents:

D1: WO 97/40076 (abstract, claims, tests B-1 to B-8 and Fig. 1)

D3: US 4694035 (claims, col. 2, I. 60-66, col. 5, I. 1-7, ex. 5-8,10,11 and Fig. 2-4)

The procedure referred to in claim 1 as well as the spherical polymer particles of claim 7 are known from D1 and D3.

Therefore, the subject-matter of claims 1 and 7 is not novel under Art. 33(2) PCT.

The subject-matter of claims 2 to 6 and 8 to 10 is either not novel with respect to D1/D3 or would not appear to be inventive with regard to these teachings (Art. 33 (2) and (3) PCT).

ITEM VI

It is noted that Doc. EP 0995764 (publ.: 26.04.2000) does not constitute prior art within the meaning of Rule 64.1(b) PCT. Nevertheless, this document could be relevant when the present application will enter in the regional phase. Particular attention should be given to p. 2, l. 3-4, p. 3, l. 13-20, p. 3, l. 55 to p. 4, l. 4, p. 9, l. 16-30 and Table 3.

ITEM VII

The amendments filed with the letter dated 10.09.2001 introduce subject-matter which extends beyond the content of the application as filed, contrary to Article 34(2)(b) PCT. The amendments concerned are the following: wherein all the monomer is added directly to the start particles in claim 1.

ITEM VIII

The subject-matter of claims 1 to 4 and 7 to 9 is not fully supported as such by the description (Art. 6 PCT).







structure can be formed in which the fraction of micro pores is insignificant. Another characteristic feature of the procedure is that the size distribution of the finished particles is narrow and in some cases narrower than in the start particles.

In order to describe prior art techniques in this field, reference will be made to the following patent specifications: NO 142082, NO 143403, NO 149108, NO 170730, NO 961625 (WO 97/40076), US 4,091,054, EP 0326383, EP 448391, US 4,382,124, EP 0 903 579 A1 and US 5,130,343.

NO 142082 and NO 143403 concern the technique that has become known as the two-step swelling method. The main element of this technique is that a low-molecular compound with very low water solubility is introduced into the start particles in a first stage. This results in an enormous increase in the particles' swelling capacity and much new monomer in relation to the polymer in the start particles can be absorbed and converted into polymer in a second step. The swelling ratio can be increased from 1:1-1:5 to 1:20-1:1000 times. NO 149108 also describes a two-stage swelling process. However, the special element of this method is that more swellable oligomer or oligomer-polymer particles are produced in the first stage. In these particles it is the oligomer content which produces an increased swelling capacity instead of introducing a low-molecular compound with very low water solubility as in NO 142082 and NO 143403. In NO 170730, the disadvantages of these techniques are mentioned as being that they involve several stages to produce polymer particles over 10 μm since the start particles have a size of 0.5 μm.

NO 170730 concerns a process for producing particles in the size range 1 to 30 μ m. In this process, start particles which are relatively large are used so that the swelling ratio can be small. Moreover, cross-linked start particles are used to avoid problems with coagulation, fusion and deformation of finished particles. A common feature with the first three references is that a first process stage is used involving the introduction of an activation agent (low-molecular compound with very low water solubility) to facilitate the absorption of new monomer in the second process stage. NO 961625 also uses relatively large start particles produced by dispersion polymerisation. Here, the total







swelling ratio is also large, but to obtain spherical particles can be obtained, the majority of the monomer must be introduced during polymerisation.

US 4,091,054 concerns a process which takes place without introducing an activation agent for swelling in a separate step. An initiator solution and a monomer solution are dosed continuously but separately to start particles with a defined size range in such a way that all the polymer is formed within the start particles. Since the monomer is polymerised continuously, the ratio between the monomer and the polymer is always low. This produces controlled but limited growth of the particles.

EP 0326383 discloses a seeded polymerisation wherein the start particles are dispersed in an organic solvent in which also the monomer is dissolved. The organic solvent is miscible with water. The process is characterised in that the solubility of the monomer in the solvent is reduced thereby forcing the monomer into the start particles. Different means of reducing the solubility of the monomer in the solvent are described. It can be done by increasing the water concentration, by reducing the temperature, by adding water via a semipermeable membrane or by reducing the solvent concentration by evaporation.

Obvious disadvantages of this process are the use of large amounts of organic solvent and the need for measures to absorb the monomer into the start particles. The use of organic solvent will also to a large extent limit the versatility of this process to produce porous particles.

EP 448391 discloses a seed polymerisation using iterative steps to increase the particle size to the desired final particle size. Monomer is dosed in a strict controlled manner so the amount of free monomer in the system always is less than 10% of the amount of polymer present at any time during the polymerisation reaction. It is stated that if the amount of free monomer exceeds 10% there will be coagulation problems or there may be new formation of small particles which is highly undesirable. This gives a strong limitation of the process and it will never be possible to swell the polymer more than 1 time its own volume with new monomer. The total increase in diameter that is possible







is 10 times. When, in addition, the start particles always are small (less than 2 microns are disclosed) a lot of iterative steps are necessary to achieve particles in the size range 10 to 50 microns.

US 4,382,124 concerns a traditional suspension polymerisation for the production of macroporous polymer particles. It describes how permanent porosity can be introduced into polymer particles. However, no measures are taken to control the size distribution or to prevent the formation of micro pores.

EP 0 903 579 A1 uses a technique very similar to that in NO 142082, NO 143403, NO 149108 and NO 170730 with regard to controlling the size and distribution of the particles but also describes new methods for avoiding micro pores. This is achieved by introducing, together with the monomer, a compound with conjugated double bonds which does not react with the monomers, or the use of an oxidation-reduction reaction, or heat treatment of the finished particles.

US 5,130,343 also concerns a process similar to that in NO 142082, NO 143403, NO 149108 and NO 170730 with regard to controlling the particle size and distribution. A soluble polymer, which is used as the start particle, is extracted from the finished particle to form a macroporous structure.

In accordance with the present invention, unusual and unexpected results have surprisingly been found when a seed polymerisation is performed in which the start particles are produced by dispersion polymerisation. It is particularly



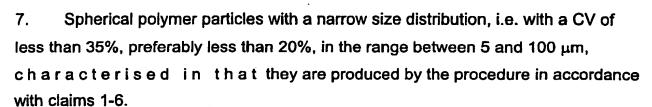




AMENDED CLAIMS

- 1. A procedure for producing spherical polymer particles with a narrow size distribution, i.e. with a CV of less than 35%, preferably less than 20%, in the range between 5 and 100 μ m by free radical polymerisation of vinyl monomers in water, c h a r a c t e r i s e d i n t h a t the polymerisation is performed as a one-step seed polymerisation wherein all of the monomer is added directly to the start particles which consist of a non-cross-linked polymer produced by a dispersion polymerisation which gives the start particles such a high swelling capacity that they can absorb more than 5 times and preferably more than 20 times their own volume.
- 2. A procedure in accordance with claim 1, c h a r a c t e r i s e d i n t h a t the start particles absorb from 5 to 120, preferably from 20 to 100, times their own volume of vinyl monomers or a mixture which contains vinyl monomers.
- 3. A procedure in accordance with claim 2, characterised in that the mixture which contains vinyl monomers contains one or more inert solvents which lead to the formation of porosity in the polymer particles.
- 4. A procedure in accordance with claim 3, characterised in that the mixture which contains vinyl monomers also contains a polymerisation initiator in addition to one or more inert solvents.
- 5. A procedure in accordance with claim 4, characterised in that the polymerisation initiator is added separately from the mixture which contains vinyl monomers.
- 6. A procedure in accordance with claims 1 to 5, c h a r a c t e r i s e d i n t h a t the vinyl monomers or a mixture which contains vinyl monomers is finely divided into small emulsion droplets before they are swelled into the start particles.





- 8. Polymer particles in accordance with claim 7, c h a r a c t e r i s e d i n t h a t the porous structure in the particles has a very low content of pores with a diameter below 50 Å, i.e. less than 10%, preferably less than 5%, of the total pore volume.
- 9. Polymer particles in accordance with claim 7 or 8, c h a r a c t e r i s e d i n t h a t the porous structure in the particles is free from micropores with a diameter below 5 Å.
- 10. Polymer particles in accordance with claim 7, 8 or 9, c h a r a c t e r i s e d i n t h a t the size distribution of the polymer particles is always narrow and preferably narrower than that of the start particles.

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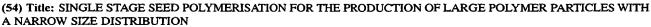
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

2007



(57) Abstract: The present invention concerns large spherical polymer particles with a narrow size distribution and a procedure for producing such particles. The procedure is characterised in that a seed polymerisation is performed in which the start particles have a very high ability to absorb new monomer so that finished particles are obtained after only one stage of polymerisation even if the finished particles are to be as large as in the range 10 to 100 µm. The procedure is also characterised in that, when porous particles are produced, a porous structure can be formed in which the fraction of micropores is insignificant. Another characteristic feature of the procedure is that the size distribution of the finished particles is narrow and in some cases narrower than in the start particles.

Single stage seed polymerisation for the production of large polymer particles with a narrow size distribution

The present invention concerns large spherical polymer particles with a narrow size distribution and a procedure for producing such particles.

Polymer particles have today many areas of application such as separation, chromatography, adsorbents, ion exchangers, drug-delivery systems, solid-phase peptide synthesis, diagnostics and cosmetic preparations. Each application requires customised properties in the particles. The challenges involve controlling the particle size, the size distribution, the polymer composition, the porosity and the functionality.

Known techniques for the production of polymer particles are suspension 15 polymerisation, emulsion polymerisation, miniemulsion polymerisation, microsuspension polymerisation and dispersion polymerisation. Suspension polymerisation is suitable for making large particles in the size range 0.05 to over 1 mm. The particle size is controlled by agitation and the type and concentration of suspending agents. The technique produces a very wide size distribution, which 20 often limits the application possibilities. It is also difficult to achieve a desired size distribution when particles smaller than 100 µm are to be produced. With miniemulsion and microsuspension polymerisation, prestabilised emulsion droplets of monomer are used to control the particle size. This also gives a very broad size distribution. With emulsion polymerisation, the particle size is controlled by the 25 quantity and type of surfactants and not by mechanical agitation. This makes it possible to make very narrow size distributions, but the typical maximum particle size is approximately 1 µm, and it is virtually impossible to make particles over approximately 10 µm with this technique, which makes it unsuitable for making large polymer particles. Dispersion polymerisation differs from the above 30 technologies in that the process is started in a homogeneous medium in which the monomer is soluble. When polymer is formed, it is precipitated as small particles that grow into the finished particles after the completion of the polymerisation. This process can produce narrow size distributions but in practice the maximum size is

limited to approximately 10 µm. Attempts to produce larger particles have mostly resulted in broad size distributions. Another limitation in this method is the problem of obtaining particles with a high degree of cross-linking. In this system, the introduction of cross-linking produces a wider size distribution and a high probability for the particles to coagulate.

Seed polymerisation is a further development of the methods mentioned above. This technique starts with already polymerised particles, start particles. In principle, start particles can be produced by any method. The start particles are dispersed in the reaction medium and new monomer and initiator are added so that the original particles grow into larger particles in a controlled process. As shown above, it is not, however, easy to produce large polymer particles in the size range 10 to 100 μm, or particles above 100 μm with a narrow size distribution. Seed polymerisation is the most appropriate technique for performing this task. However, the method is very limited because the ability of the polymer particles to absorb new monomer is low. The general rule is that new monomer can be absorbed in the ratio 1:1, maximum 5:1, relative to the volume of the start particles.

The absorption of monomer can be described using a thermodynamic swelling equation (Morton equation). The driving force for the diffusion of the monomer into the start particles is the partial molar energy of the mixture of monomer and polymer. However, the particles can only absorb a limited amount of monomer before the equilibrium swelling is achieved. The reason for this is that the swelling leads to an increase in the surface area of the particles and thus to increased surface free energy. This effect, which thus counteracts the swelling, is inversely proportional to the particle radius. The equilibrium swelling of the monomer can be calculated from the expression:

30
$$\Delta G_m = RT[ln(\phi)_m + (1 - 1/J_p)\phi_p + \phi_p^2 \chi + 2V_m \gamma / rRT] = 0$$

5

separation.

where ΔG_m is the total partial molar free energy of the mixture, ϕ_m and ϕ_p are the volume fractions of the monomer and polymer respectively, J_p is the polymer's chain length, V_m is the monomer's partial molar volume, r and γ are the particles' radius and interfacial tension and χ is Flory-Huggin's interaction constant.

3

The development of polymer particles that have permanent porosity, macroporous particles, is particularly interesting for their use as adsorbents, ion exchangers and chromatography media. It is known that such particles can be obtained by using an inert solvent together with the monomer mixture to be polymerised. It is also known that such solvents can be more or less good as solvents for the polymer formed. A soluble polymer can also be used as a pore forming agent. After the completion of polymerisation, the inert solvent and any soluble polymer are removed and a porous structure is obtained. In order to achieve a permanent porous structure, specific conditions must be present between the polymer formed and the solvent. The typical condition is that a cross-linked polymer is formed and that there is a complete phase separation between the polymer and the solvent. The size distribution of the pores formed is decisive for the area of application of

the polymer particles. One known problem is that a considerable fraction of micropores less than 50 Å is formed. These are undesirable in separation

20 processes because small molecules are left in the small pores and lead to poorer

One main objective of the present invention is to produce compact and porous polymer particles and a procedure for producing such particles the above disadvantages. This and other objectives of the present invention are achieved as described in the claims.

The procedure is characterised in that a seed polymerisation is performed in which the start particles have a very high ability to absorb new monomer so that finished particles are obtained after only one polymerisation step even if the finished particles are to be as large as in the range 10 to 100 µm. The procedure is also characterised in that, when porous particles are produced, a porous structure can

WO 01/19885 PCT/NO00/00297

be formed in which the fraction of micropores is insignificant. Another characteristic feature of the procedure is that the size distribution of the finished particles is narrow and in some cases narrower than in the start particles.

5 In order to describe prior art techniques in this field, reference will be made to the following patent specifications:

NO 142082, NO 143403, NO 149108, NO 170730, NO 961625, US 4,091,054, US 4,382,124, EP 0 903 579 A1 and US 5,130,343.

NO 142082 and NO 143403 concern the technique that has become known as the two-step swelling method. The main element of this technique is that a low-molecular compound with very low water solubility is introduced into the start particles in a first stage. This results in an enormous increase in the particles' swelling capacity and much new monomer in relation to the polymer in the start
 particles can be absorbed and converted into polymer in a second step. The swelling ratio can be increased from 1:1-1:5 to 1:20-1:1000 times. NO 149108 also describes a two-stage swelling process. However, the special element of this method is that more swellable oligomer or oligomer-polymer particles are produced in the first stage. In these particles it is the oligomer content which
 produces an increased swelling capacity instead of introducing a low-molecular compound with very low water solubility as in NO 142082 and NO 143403. In NO 170730, the disadvantages of these techniques are mentioned as being that they involve several stages to produce polymer particles over 10 μm since the start particles have a size of 0.5 μm.

25

NO 170730 concerns a process for producing particles in the size range 1 to 30 μm. In this process, start particles which are relatively large are used so that the swelling ratio can be small. Moreover, cross-linked start particles are used to avoid problems with coagulation, fusion and deformation of finished particles. A common feature with the first three references is that a first process stage is used involving the introduction of an activation agent (low-molecular compound with very low water solubility) to facilitate the absorption of new monomer in the second process stage. NO 961625 also uses relatively large start particles produced by dispersion

polymerisation. Here, the total swelling ratio is also large, but to obtain spherical particles can be obtained, the majority of the monomer must be introduced during polymerisation.

5 US 4,091,054 concerns a process which takes place without introducing an activation agent for swelling in a separate step. An initiator solution and a monomer solution are dosed continuously but separately to start particles with a defined size range in such a way that all the polymer is formed within the start particles. Since the monomer is polymerised continuously, the ratio between the monomer and the polymer is always low. This produces controlled but limited growth of the particles.

US 4,382,124 concerns a traditional suspension polymerisation for the production of macroporous polymer particles. It describes how permanent porosity can be introduced into polymer particles. However, no measures are taken to control the size distribution or to prevent the formation of micropores.

EP 0 903 579 A1 uses a technique very similar to that in NO 142082, NO 143403, NO 149108 and NO 170730 with regard to controlling the size and distribution of the particles but also describes new methods for avoiding micropores. This is achieved by introducing, together with the monomer, a compound with conjugated double bonds which does not react with the monomers, or the use of an oxidation-reduction reaction, or heat treatment of the finished particles.

- US 5,130,343 also concerns a process similar to that in NO 142082, NO 143403, NO 149108 and NO 170730 with regard to controlling the particle size and distribution. A soluble polymer, which is used as the start particle, is extracted from the finished particle to form a macroporous structure.
- In accordance with the present invention, unusual and unexpected results have surprisingly been found when a seed polymerisation is performed in which the start particles are produced by dispersion polymerisation. It is particularly advantageous to use a dispersion polymerisation as described in NO 970247, which patent

6

specification is hereby included as a reference in the present invention. Detailed descriptions of dispersion polymerisation are also provided in K. E. Barret, Br. Polym. J., 5,259, 1973 and E. Shen et al., J of Pol. Sci., 32, 1087, 1994.

5 The basis for the present invention is an aqueous dispersion of start particles. To this dispersion is then added the monomer mixture which is to be polymerised to form the finished particles. If porous particles are to be produced, the monomer mixture contains one or more pore forming agents. The polymerisation initiator may also be part of the monomer mixture or it may be added separately before or after the addition of the monomer. The volume ratio between the monomer mixture and start particles is always greater than 5:1. This means that the start particles constitute maximum 20% of the product particles and that the increase in particle diameter from start particle to product particle is always greater than 1.8. When the monomer mixture and the initiator are absorbed in the start particles, the polymerisation is performed conventionally at a temperature suitable to the initiator. The product particles are also processed conventionally by dewatering and washing to remove the stabilisers used to prevent flocculation and coagulation during polymerisation, unconverted monomers and initiator and pore forming agents if such agents have been used to make porous particles.

20

The new, special feature of this procedure is that a large amount of monomer can be absorbed in the start particles without using any form of low-molecular compound with low water solubility as a swelling aid and without the swelling taking place in many stages or by continuous dosing of monomer. The new procedure is thus very simple and is performed in its entirety in just one cycle by the monomer being swelled into the start particles directly in the desired quantity and the polymerisation being performed. The quantity of monomer which can be swelled in may be varied from 5 to more than 100 times the volume of the start particles. From a start particle of, for example, 5 μm, it is possible to produce products with diameters from approximately 9 to approximately 25 μm and from a start particle of, for example, 15 μm, it is possible to produce products with

diameters between 25 and 75 μ m. There are no restrictions in the procedure with regard to the type of polymerisation additives which may be used.

The types and quantities of emulsifiers, polymer stabilisers, initiators, inhibitors, monomers and solvents can be chosen freely depending on the polymer composition, porosity and particle size to be achieved. Different methods for dosing the monomer mixture may also be chosen. Different monomer mixtures will have different transport velocities through the aqueous phase in which they are added and into the start particles. For monomer systems with low water solubility, it may be advantageous to add the monomer as finely divided droplets, as this increases the transport velocity into the start particles, or partially water-soluble compounds may be added which also increase the transport velocity of the monomer.

15 Typical monomers used in the monomer mixture are aromatic and aliphatic vinyl monomers such as styrene, vinyl toluene, vinyl pyridine, methyl acrylate, methyl methacrylate, butyl acrylate, butyl methacrylate, phenyl acrylate, phenyl methacrylate, glycidyl methacrylate, hydroxy ethyl methacrylate, methacrylic acid. acrylic acid, acrylamide and cross-linking agents such as divinyl benzene, ethylene 20 glycol dimethacrylate, diallyl phthalate, divinyl pyridine and trivinyl benzene. Emulsifiers and suspension agents are used to keep the particles freely dispersed during the process and possibly also to wet and disperse the start particles. Examples of suitable substances are sodium lauryl sulphate, ammonium laurate, ammonium myristate, sodium dodecyl benzene sulphonate, alkyl sulphonate, 25 sodium lauryl ether sulphate, sodium dihexyl sulphosuccinate, sodium dioctyl sulphosuccinate, fatty alcohol ethoxylate, sorbitan esters, polyvinyl alcohol, polymer cellulose ethers, polyvinyl pyrrolidone, magnesium silicate and calcium phosphate. Common polymerisation initiators such as the peroxides: benzoyl peroxide, lauryl peroxide, tert-butyl perbenzoate, didecanoyl peroxide, dioctanoyl 30 peroxide and cumene hydroperoxide and the azo-initiators: azodiisobutyronitrile, azobismethylbutyronitrile and azobisdimethylvaleronitrile can be used.

Another surprising feature of the procedure is that the product particles have been shown to be completely spherical and free from defects; nor are there any problems with deformation and fusion of particles during the seed polymerisation even though the start particles are a non-cross-linked polymer.

5

Yet another surprising feature of the procedure is that the size distribution of the product particles is always narrow and in some cases narrower than the distribution of the start particles. This produces special advantages and degrees of freedom with regard to the use of start particles with a relatively broad size distribution even if a relatively narrow size distribution is required for the product. This means that start particles produced by dispersion polymerisation which are above 10 µm and have a relatively broad distribution, as discussed above, are also well suited for the production of particles above 50 µm which are to have a narrow size distribution.

15

The fact that the size distribution is narrower when a monomer mixture is swelled into start particles produced by dispersion polymerisation with a relatively high diameter and a relatively wide size distribution conflicts with the theory of equilibrium swelling, described above, if it is assumed that the molecular weight and surface conditions are equal for all start particles. The results indicate, therefore, that in a portion with start particles with a moderate size distribution, the smallest start particles will swell more than the largest start particles and the size will be equalised. This is very surprising.

micro witho

micropores can be reduced considerably. This has not previously been possible without special measures, as described under the description of prior art techniques. One possible explanation is that the non-cross-linked polymer which constitutes the start particles contributes to a different phase separation in the

25 Another surprising feature of the present invention is that the formation of

30 system than when a cross-linked start particle is used.

The present invention will now be described in further detail using examples and figures that in no way restrict the possibilities of the present invention.

DESCRIPTION OF FIGURES

Figure 1 shows a picture of the start particles from example A.1.

Figure 2 shows a picture of the start particles from example A.2.

5 Figure 3 shows a picture of the porous spherical polymer particles produced in accordance with example B.2.

Figure 4 shows a picture of the porous spherical polymer particles produced in accordance with example B.3.

Figure 5 shows a picture of the porous spherical polymer particles produced in accordance with example B.4.

The mean particle diameter and particle size distribution (CV) were determined using Coulter LS-230. CV is calculated by dividing the standard deviation (SD) by the mean particle diameter (d_p) : CV = $(SD/d_p)^*$ 100%.

The specific surface area and pore volume were determined on the basis of N₂ adsorption/desorption and a Hg porosimeter. A porosimeter (Units 120 and 2000) from Carlo Erba was used for the Hg measurements. The pore volume for pores with a diameter under 50 Å and pores with a diameter under 5 Å was determined on the basis of N₂ adsorption/desorption using ASAP 2000 or Tristar 3000 from Micromeritics, USA.

25 **EXAMPLES**

A. Production of start particles by dispersion polymerisation

Start particles were produced by dispersion polymerisation of methyl methacrylate in methanol with polyvinyl pyrrolidone (PVP K-30) as the stabiliser. Either 2,2-azobisisobutyronitrile (AIBN) or dioctanoyl peroxide was used as the initiator.

The following standard recipe was used:

	Materials	Weight %
	Methyl methacrylate Methanol PVP K-30 AIBN Dioctanoyl peroxide	10 - 15
5	Methanol	80 - 85
	PVP K-30	2.5 - 5
	AIBN	0 - 0.4
	Dioctanoyl peroxide	0 - 0.4
	Polymerisation temperature	50-58°C

10

Depending on the exact recipe, spherical particles were produced in the size range $1-15 \,\mu m$ with a relatively narrow size distribution (CV 5-25%). When large start particles were produced, the size distribution was somewhat broader (CV 15-25%).

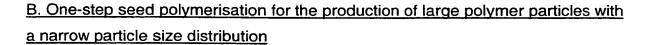
15

A constant polymerisation temperature was used throughout the polymerisation or the polymerisation temperature was controlled after the number of particles in the dispersion was constant (cf. patent NO 970247).

The following start particles were used for the production of large polymer particles with a narrow particle size distribution:

Example	Particle diameter (μm)	CV (%)	
A.1	6	5	
A.2	14	20	
A.3	7	20	

Figures 1 and 2 show pictures of the particles from examples A.1 and A.2 respectively.



Example B.1

A solution of methyl hydroxy propyl cellulose (0.7 g), sodium lauryl sulphate (0.5 g) and water (750 g) was mixed with a solution of styrene (38.0 g), ethylene glycol dimethacrylate (38.0 g), pentyl acetate (38 g) and azobismethylbutyronitrile (1.0 g). The mixture was emulsified using Ultra Turrax high-speed mixer and added to a reactor (5 l steel reactor). An aqueous dispersion of polymethyl methacrylate (PMMA) start particles produced in accordance with example A.1 (5.0 g start particles, 200 g water) and potassium iodide (0.2 g) were added to the reactor. The organic phase was left to swell into the start particles for 24 hours. Water (1500 g) was then added, after which the temperature was increased to 85°C. The polymerisation was completed in 7 hours at 85°C.

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Porous spherical polymer particles with a mean diameter of 17 μ m and a CV of 16% were obtained. The specific surface area was 63 m²/g and the pore volume was 1.0 ml/g. The pore volume for pores with a diameter below 50 Å was 0.015 ml/g and for pores with a diameter less than 5 Å < 0.001 ml/g.

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Example B.2

A solution of methyl hydroxy propyl cellulose (0.7 g), sodium lauryl sulphate (0.5 g) and water (750 g) was mixed with a solution of methyl methacrylate (28 g), ethylene glycol dimethacrylate (84 g), pentyl acetate (48 g) and
25 azobismethylbutyronitrile (1.0 g). The mixture was emulsified using Ultra Turrax high-speed mixer and added to a reactor (5 I steel reactor). An aqueous dispersion of PMMA start particles produced in accordance with example A.1 (5 g start particles, 174 g water) and potassium iodide (0.2 g) were added to the reactor. The organic phase was left to swell into the start particles for 2 hours. Water (1500 g) was then added, after which the temperature was increased to 80°C. The polymerisation was completed in 7 hours at 80°C.



Porous spherical polymer particles with a mean diameter of 18 μ m and a CV of 20% were obtained; see Figure 3. The specific surface area was 50 m²/g and the pore volume was 0.4 ml/g. The pore volume for pores with a diameter below 50 Å was 0.011 ml/g and for pores with a diameter less than 5 Å 0.004 ml/g.

Example B.3

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A solution of methyl hydroxy propyl cellulose (0.14 g), sodium lauryl sulphate (0.10 g) and water (110 g) was mixed with a solution of methyl methacrylate (7.60 g), ethylene glycol dimethacrylate (7.60 g), pentyl acetate (16.80 g) and azobismethylbutyronitrile (0.15 g). The mixture was emulsified using Ultra Turrax high-speed mixer and added to a reactor (0.5 I double-walled glass reactor). An aqueous dispersion of PMMA start particles produced in accordance with example A.2 (0.5 g start particles, 1.2 g water) was added to the reactor. The organic phase was left to swell into the start particles for 48 hours. Water (300 g) and potassium iodide (0.04 g) were then added, after which the temperature was increased to 80°C. The polymerisation was completed in 7 hours at 80°C.

Porous spherical polymer particles with a mean diameter of 55 μm and a CV of 7% were obtained; see Figure 4. The specific surface area was 63 m²/g and the pore volume was 1.0 ml/g. The pore volume for pores with a diameter below 50 Å was 0.011 ml/g and for pores with a diameter less than 5 Å 0.001 ml/g.

Example B.4

A solution of methyl hydroxy propyl cellulose (0.14 g), sodium lauryl sulphate (0.10 g) and water (110 g) was mixed with a solution of styrene (7.60 g), ethylene glycol dimethacrylate (7.60 g), pentyl acetate (16.80 g) and azobismethylbutyronitrile (0.20 g). The mixture was emulsified using Ultra Turrax high-speed mixer and added to a reactor (0.5 I double-walled glass reactor). An aqueous dispersion of PMMA start particles produced in accordance with example A.2 (0.5 g start particles, 41.2 g water) was added to the reactor. The organic phase was left to swell into the start particles for 48 hours. Water (300 g) and potassium iodide (0.04 g) were then added, after which the temperature was increased to 80°C. The polymerisation was completed in 7 hours at 80°C.

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Porous spherical polymer particles with a mean diameter of 50 μ m and a CV of 9% were obtained; see Figure 5. The specific surface area was 68 m²/g and the pore volume was 1.0 ml/g. The pore volume for pores with a diameter below 50 Å was 0.017 ml/g and for pores with a diameter less than 5 Å < 0.0001 ml/g.

Example B.5

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A solution of methyl hydroxy propyl cellulose (0.14 g), sodium lauryl sulphate (0.10 g) and water (110 g) was mixed with a solution of methyl methacrylate (4.8 g), ethylene glycol dimethacrylate (14.4 g), pentyl acetate (12.8 g) and azobismethylbutyronitrile (0.20 g). The mixture was emulsified using Ultra Turrax high-speed mixer and added to a reactor (0.5 I double-walled glass reactor). An aqueous dispersion of PMMA start particles produced in accordance with example A.3 (1.0 g start particles, 41.6 g water) and potassium iodide (0.04 g) were added to the reactor. The organic phase was left to swell into the start particles for 2 hours. Water (300 g) was then added, after which the temperature was increased to 60°C. The polymerisation was completed in 7 hours at 60°C.

Porous spherical polymer particles with a mean diameter of 24 µm and a CV of 18% were obtained. The specific surface area was 129 m²/g and the pore volume was 0.7 ml/g. The pore volume for pores with a diameter below 50 Å was 0.045 ml/g and for pores with a diameter less than 5 Å < 0.0001 ml/g.

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CLAIMS

- A procedure for producing spherical polymer particles with a narrow size distribution, i.e. with a CV of less than 35%, preferably less than 20%, in the range between 5 and 100 μm by free radical polymerisation of vinyl monomers, c h a r a c t e r i s e d i n t h a t the polymerisation is performed as a one-step seed polymerisation in which the start particles consist of a non-cross-linked polymer produced by a dispersion polymerisation which gives the start particles such a high swelling capacity that they can absorb more than 5 times and preferably more than 20 times their own volume.
 - 2. A procedure in accordance with claim 1, c h a r a c t e r i s e d i n t h a t the start particles absorb from 5 to 120, preferably from 20 to 100, times their own volume of vinyl monomers or a mixture which contains vinyl monomers.
 - 3. A procedure in accordance with claim 2, c h a r a c t e r i s e d i n t h a t the mixture which contains vinyl monomers contains one or more inert solvents which lead to the formation of porosity in the polymer particles.
- 4. A procedure in accordance with claim 3, c h a r a c t e r i s e d i n t h a t the mixture which contains vinyl monomers also contains a polymerisation initiator in addition to one or more inert solvents.
- 5. A procedure in accordance with claim 4, characterised in that the polymerisation initiator is added separately from the mixture which contains vinyl monomers.
- 6. A procedure in accordance with claims 1 to 5, c h a r a c t e r i s e d i n t h a t the vinyl monomers or a mixture which contains vinyl monomers is finely divided into small emulsion droplets before they are swelled into the start particles.

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7. Spherical polymer particles with a narrow size distribution, i.e. with a CV of less than 35%, preferably less than 20%, in the range between 5 and 100 μ m, c h a r a c t e r i s e d i n t h a t they are produced by the procedure in accordance with claims 1-6.

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- 8. Polymer particles in accordance with claim 7, characterised in that the porous structure in the particles has a very low content of pores with a diameter below 50 Å, i.e. less than 10%, preferably less than 5%, of the total pore volume.
- 9. Polymer particles in accordance with claim 7 or 8, c h a r a c t e r i s e d i n t h a t the porous structure in the particles is free from micropores with a diameter below 5 Å.
- 15 10. Polymer particles in accordance with claim 7, 8 or 9, c h a r a c t e r i s e d i n t h a t the size distribution of the polymer particles is always narrow and preferably narrower than that of the start particles.

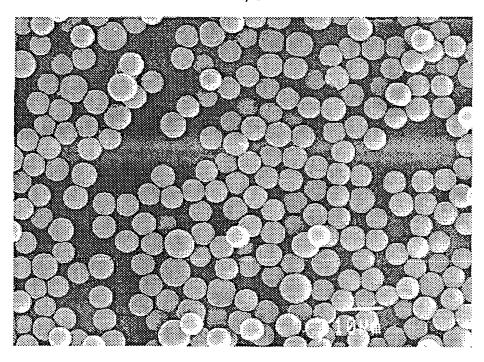


Fig. 1: SEM picture of start particles produced in accordance with example A.1

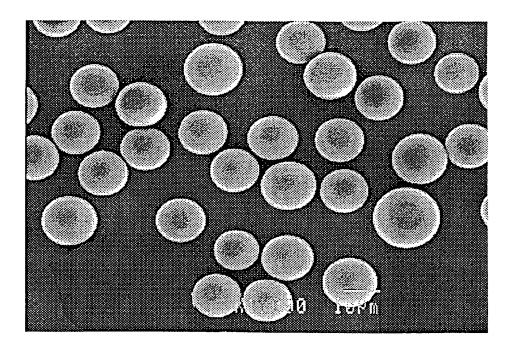


Fig.2: SEM picture of start particles produced in accordance with example A.2

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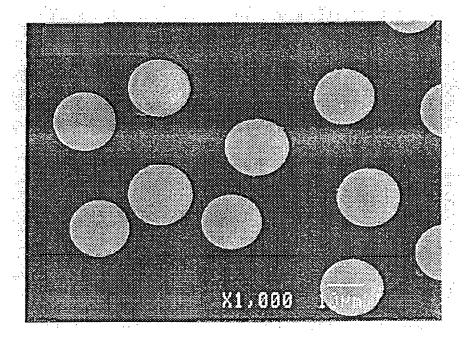


Fig. 3: SEM picture of polymer particles produced in accordance with example B.2

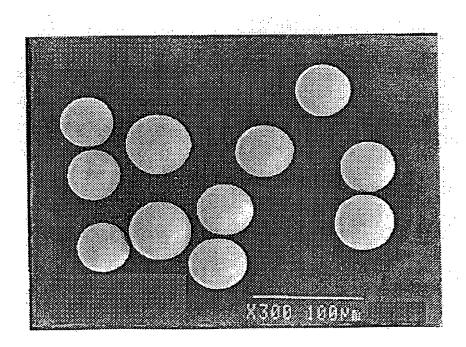


Fig. 4: SEM picture of porous particles produced in accordance with example B.3

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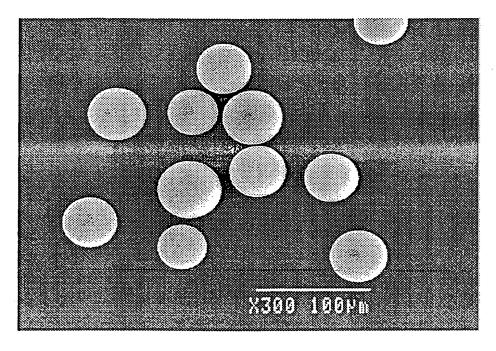


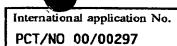
Fig. 5: SEM picture of porous particles produced in accordance with example B.4



A. CLASSIFICATION OF SUBJECT MATTER IPC7: C08F 291/00, C08F 2/08 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC7: C08F Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched SE,DK,FI,NO classes as above Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. P,X EP 0995764 A1 (AGFA-GEVAERT AG), 26 April 2000 1-10 (26.04.00), page 2, line 1 - page 3, line 20; page 5, line 30 - page 7, line 12, comparative examples 1-10, tables 1 and 3, abstract X WO 9740076 A1 (NORSK HYDRO ASA), 30 October 1997 1-10 (30.10.97), page 3, abstract, examples Y EP 0326383 A2 (MITA INDUSTRIAL CO. LTD.), 1-10 2 August 1989 (02.08.89), abstract, examples, claims

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